

S/N: 10,647,939 T.P.

|   |                                       |                                    |
|---|---------------------------------------|------------------------------------|
| (Rev. 5/92)<br>Information Disclosure Statement List<br>By Applicant<br>Under 37 CFR Section 1.98(a) (1)<br>(Use several sheets if necessary) | Attorney Docket Number<br>AVX-187-DIV | Serial Number<br>Not yet assigned. |
|   | Applicants: John Galvagni, et al.     |                                    |
|   | Filing Date: Herewith                 | Parent Group Art<br>Unit: 2831     |
|   | Confirmation No.: Not yet assigned.   | 3729                               |

8/27/03

NOTE: If no indication is made in the column marked "COPY NOTE," the required legible copy of the corresponding item is submitted herewith; otherwise, a copy is not required and/or not submitted, for the following reason(s) [corresponding reason number is listed in "COPY NOTE" column]

- (1) This item is cumulative, per Rule 98(c)  
 (2) A copy of this item was previously cited by or submitted to the U.S. Patent and Trademark Office in:  
       USSN 10/234,972, filed 09/04/2002, or  
       USSN \_\_\_\_\_, filed \_\_\_\_\_;  
       Relied on under 35 U.S.C. Section 120, per Rule 98(d)  
 (3) Both reasons (1) and (2) apply  
 (4) No legible complete copy is possessed, in custody of controlled, or readily available

| U.S. PATENT DOCUMENTS |                  |               |   |   |   |   |   |      |  |            |           |  |
|-----------------------|------------------|---------------|---|---|---|---|---|------|--|------------|-----------|--|
| EXAMINER INITIALS     | PATENTEE NAME    | PATENT NUMBER |   |   |   |   |   |      |  | ISSUE DATE | COPY NOTE |  |
| M                     | Dougherty et al. | 4             | 4 | 3 | 9 | 8 | 1 | 3    |  | 03/27/84   | 2         |  |
|                       | Arnold et al.    | 4             | 8 | 3 | 1 | 4 | 9 | 4    |  | 05/16/89   | 2         |  |
|                       | Takemura et al.  | 5             | 2 | 2 | 0 | 4 | 8 | 2    |  | 06/15/93   | 2         |  |
|                       | Galvagni et al.  | 5             | 5 | 1 | 7 | 3 | 8 | 5    |  | 05/14/96   | 2         |  |
|                       | Monsonno         | 5             | 5 | 7 | 6 | 9 | 2 | 6    |  | 11/19/96   | 2         |  |
|                       | Wilson et al.    | 5             | 5 | 9 | 9 | 7 | 5 | 7    |  | 02/04/97   | 2         |  |
|                       | Mizuno           | 5             | 7 | 8 | 6 | 9 | 7 | 8    |  | 07/28/98   | 2         |  |
|                       | Galvagni et al.  | 5             | 7 | 9 | 9 | 3 | 7 | 9    |  | 01/01/98   | 2         |  |
|                       | Bertin et al.    | 5             | 8 | 1 | 1 | 8 | 6 | 8    |  | 09/22/98   | 2         |  |
|                       | Bird et al.      | 5             | 8 | 3 | 1 | 8 | 1 | 0    |  | 11/03/98   | 2         |  |
|                       | DuPré et al.     | 5             | 8 | 8 | 0 | 9 | 2 | 5    |  | 03/09/99   | 2         |  |
|                       | Chivukula et al. | 5             | 8 | 8 | 6 | 8 | 6 | 7    |  | 03/23/99   | 2         |  |
|                       | Schaper          | 6             | 0 | 2 | 3 | 4 | 0 | 8    |  | 02/08/00   | 2         |  |
|                       | Naito et al.     | 6             | 0 | 3 | 4 | 8 | 6 | 4    |  | 03/07/00   | 2         |  |
|                       | Naito et al.     | 6             | 0 | 3 | 8 | 1 | 2 | 1    |  | 03/14/00   | 2         |  |
|                       | Bergstedt et al. | 6             | 0 | 3 | 8 | 1 | 2 | 2    |  | 03/14/00   | 2         |  |
|                       | Konushi          | 6             | 1 | 0 | 4 | 5 | 9 | 7    |  | 08/15/00   | 2         |  |
|                       | Bissey           | 6             | 1 | 8 | 4 | 5 | 7 | 4 B1 |  | 02/06/01   | 2         |  |
|                       | Ingalls et al.   | 6             | 2 | 0 | 8 | 5 | 0 | 1 B1 |  | 03/27/01   | 2         |  |
|                       | Nellison et al.  | 6             | 2 | 2 | 6 | 1 | 7 | 0 B1 |  | 05/01/01   | 2         |  |
|                       | DuPré et al.     | 6             | 2 | 4 | 3 | 2 | 5 | 3 B1 |  | 06/05/01   | 2         |  |
|                       | Naito et al.     | 6             | 3 | 7 | 0 | 0 | 1 | 1 B1 |  | 04/09/02   | 2         |  |

| FOREIGN PATENT DOCUMENTS |  |         |                 |   |   |   |   |   |   |                  |             |    |     |           |   |
|--------------------------|--|---------|-----------------|---|---|---|---|---|---|------------------|-------------|----|-----|-----------|---|
| EXAMINER INITIALS        |  | COUNTRY | DOCUMENT NUMBER |   |   |   |   |   |   | PUBLICATION DATE | TRANSLATION |    |     | COPY NOTE |   |
|                          |  |         |                 |   |   |   |   |   |   |                  | YES         | NO | N/A |           |   |
| m                        |  | EPO     | 1               | 1 | 1 | 5 | 1 | 2 | 9 | A2               | 07/11/01    |    |     | X         | 2 |

\*"NO" means that no copy of an English language translation is within the possession, custody, or control of, or is readily available to any individual designated in Rule 56(c).

| EXAMINER INITIALS   | OTHER DOCUMENTS  | COPY NOTE |
|---|--|-----------|
| M   | Specify author (if any), Title, Pertinent Pages, Date & Place of Publication   |           |
|   | PCT International Search Report, International Application No. PCT/US02/28100, International Filing Date: September 5, 2002, Date of completion of International Search: October 9, 2003, Date of mailing of International Search Report: January 2, 2003. | 2         |
| EXAMINER  | DATE CONSIDERED  |           |
|   | 9/11/05  |           |
| Examiner: initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant. |  |           |



|  |   |                                       |
|--|---|---------------------------------------|
| Supplemental<br>Information Disclosure Statement List<br>By Applicant<br>Under 37 CFR Section 1.98(a) (1)<br>(Use several sheets if necessary) | Attorney Docket Number<br>AVX-187-DIV                             | Serial Number<br>10/647,939           |
|  | Applicant<br>John L. Galvagni, et al.                             |                                       |
|  | Filing Date: 08/26/2003<br>Confirmation No.:<br>Not yet assigned. | Parent Group Art Unit<br>2831<br>3729 |

## NOTE:

If no indication is made in the column marked "COPY NOTE," the required legible copy of the corresponding item is submitted herewith; otherwise, a copy is not required and/or not submitted, for the following reason(s) [corresponding reason number is listed in "COPY NOTE" column]"

- (1) This item is cumulative, per Rule 98(c)
- (2) A copy of this item was previously cited by or submitted to the U.S. Patent and Trademark Office in:  
USSN \_\_\_\_\_, filed \_\_\_\_\_, or  
USSN \_\_\_\_\_, filed \_\_\_\_\_;  
Relied on under 35 U.S.C. Section 120, per Rule 98(d)
- (3) Both reasons (1) and (2) apply
- (4) No legible complete copy is possessed, in custody of controlled, or readily available
- (5) Per the U.S. Patent and Trademark Office's waiver of Rule 98(a)(2)(i), the item is a U.S. patent or patent application publication, and the present application was filed after June 30, 2003.

| U.S. PATENT DOCUMENTS |               |               |   |   |   |   |   |      |               |              |
|-----------------------|---------------|---------------|---|---|---|---|---|------|---------------|--------------|
| EXAMINER<br>INITIALS  | PATENTEE NAME | PATENT NUMBER |   |   |   |   |   |      | ISSUE<br>DATE | COPY<br>NOTE |
| JP                    | Naito et al.  | 6             | 6 | 0 | 6 | 2 | 3 | 7 B1 | 08/12/2003    | (5)          |

| FOREIGN PATENT DOCUMENTS |         |                    |                     |             |    |     |              |  |  |  |
|--------------------------|---------|--------------------|---------------------|-------------|----|-----|--------------|--|--|--|
| EXAMINER<br>INITIALS     | COUNTRY | DOCUMENT<br>NUMBER | PUBLICATION<br>DATE | TRANSLATION |    |     | COPY<br>NOTE |  |  |  |
|                          |         |                    |                     | YES         | NO | N/A |              |  |  |  |
|                          |         |                    |                     |             |    |     |              |  |  |  |

\*"NO" means that no copy of an English language translation is within the possession, custody, or control of, or is readily available to any individual designated in Rule 56(c).

| EXAMINER<br>INITIALS  | OTHER DOCUMENTS<br>Specify author (if any), Title, Pertinent Pages, Date & Place of Publication | COPY<br>NOTE |
|---|---|--------------|
|   |   |              |
|   |   |              |
| EXAMINER  | DATE CONSIDERED   |              |
| Examiner: initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant. |   |              |